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# NOTICE OF ALLOWANCE AND FEE(S) DUE

27623

10/581,631

7590

12/31/2008

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901

01/03/2008

EXAMINER

BARRY, CHESTER T

ART UNIT PAPER NUMBER

1797

3708

DATE MAILED: 12/31/2008

175.8378USU

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.

Leonhard Fuchs

TITLE OF INVENTION: PROCESS FOR THE AEROBIC-THERMOPHILIC STABILIZATION AND DISINFECTION OF SLUDGE

APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(8) DUE DATE DUE nonprovisional YES \$755 \$300 \$0 \$1055 03/31/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 27623 7590 12/31/2008 Certificate of Mailing or Transmission OHLANDT, GREELEY, RUGGIERO & PERLE, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/581,631 01/03/2008 Leonhard Fuchs 175.8378USU 3708 TITLE OF INVENTION: PROCESS FOR THE AEROBIC-THERMOPHILIC STABILIZATION AND DISINFECTION OF SLUDGE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$300 \$0 \$1055 03/31/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS BARRY, CHESTER T 1797 210-613000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/581,631	01/03/2008	Leonhard Fuchs	175.8378USU	3708
27623 75	590 12/31/2008		EXAMINER	
OHLANDT, GRI	EELEY, RUGGIERO	BARRY, CHESTER T		
ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901			ART UNIT	PAPER NUMBER
			1797	
			DATE MAILED: 12/31/2008	

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)			
	10/581,631	FUCHS ET AL.			
Notice of Allowability	Examiner	Art Unit			
	CHESTER T. BARRY	1797			
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31:	6 (OR REMAINS) CLOSED in this ) or other appropriate communica RIGHTS. This application is subje	application. If not included attorn will be mailed in due course. <b>THIS</b>			
1. $\square$ This communication is responsive to <u>6/4/08</u> .					
2. The allowed claim(s) is/are <u>1-14</u> .					
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority unall All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> </ul>	e been received. e been received in Application No	D			
3.  Copies of the certified copies of the priority documents have been received in this national stage application from the					
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		eply complying with the requirements			
4. A SUBSTITUTE OATH OR DECLARATION must be subn INFORMAL PATENT APPLICATION (PTO-152) which give					
5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.					
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached					
1) ☐ hereto or 2) ☐ to Paper No./Mail Date	_•				
<ul><li>(b) ☐ including changes required by the attached Examiner Paper No./Mail Date</li></ul>	's Amendment / Comment or in th	ne Office action of			
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in					
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.					
Attachment(s)					
1. Notice of References Cited (PTO-892)	5. Notice of Inform	al Patent Application			
2. $\square$ Notice of Draftperson's Patent Drawing Review (PTO-948)					
3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 3/9/07	Paper No./Mail 7.	Date endment/Comment			
4. Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's Stat	ement of Reasons for Allowance			
of Biological Material	9.				
Chester T. Barry					
Primary Examiner Art Unit: 1797					

Application/Control Number: 10/581,631

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The following is an examiner's statement of <u>reasons for allowance</u>: GB 2105318 to BOC describes a process for the aerobic-thermophilic stabilization and disinfection (pathogen reduction) of sludge, wherein: a) raw sludge 18, e.g., from municipal sewage treatment plants, having an unspecified dry matter content is fed to a first stage 8.

Although BOC describes the introduction of an oxygen-containing gas into the first stage 8 to obtain a partially stabilized sludge, the raw sludge remains in the first stage for an average retention time of only about 18 – 36 hours at about 35°C, not from four to ten days at temperatures of at least 42 °C as claimed. The partially stabilized sludge is then fed to a second stage in which the partially stabilized sludge is further stabilized and disinfected at temperatures of above 50 °C with the introduction of an oxygencontaining gas. The second stage average retention time is the same as during the first stage, i.e., about 18 – 36 hours, rather than 30 to 70 % of the average retention time of the first stage.

USP 6966983 to McWhirter describes (Fig,. 2, (col 5 line 37+)) a process for the stabilization and disinfection of sludge wherein raw sludge having a dry matter content of at least 3% by weight is fed quasi-continuously into a first stage, where it remains for an average retention time of 5 - 10 days under aerobic-thermophilic conditions to obtain a partially stabilized sludge; and said partially stabilized sludge is fed into a second stage in which disinfection of the partially stabilized sludge is effected at temperatures of 55 - 65°C (col 5 line 37+) wherein prior to discharging, there is no charging until said partially stabilized sludge has been disinfected. McWhirter fails to describe retention

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time in the downstream ATAD vessel being 30 - 70% of the retention time of the upstream vessel.

USP 4983298 to Fuchs does not teach or suggest shorter retention times in the Stage B reaction compared to the Stage A reaction. Rather, the retention times in the

second aerobic stage are longer than those of the first aerobic reaction.

4975194 20080245729 are cited of interest

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

/Chester T. Barry/

Primary Examiner, Art Unit 1797

571-272-1152